

Dear Business Owner:

Enclosed please find the State of New Jersey MBE/WBE Certification Application. If you wish to participate as a Minority and/or Women Business Enterprise, kindly complete and submit the application with a non-refundable check or money order in the amount of \$100.00 made payable to "NJ Division of Revenue". DO NOT SEND CASH.

Be advised that the average processing time is between eight to ten weeks after your check has been cashed.

Your M/WBE certification is valid for 3 years. Annually, the business must submit, not more than 20 days prior the anniversary of the certification, an annual verification statement, in which it shall attest that there is no change in the ownership, control or any other factor of the business affecting eligibility for the certification as a minority or womenowned business.

If the business fails to submit the annual verification statement by the anniversary date, the certification will lapse and the business will be removed from the SAVI¹ that lists certified minority and women-owned businesses. If the business seeks to be certified, it will have to reapply and pay the \$100 application fee.

If the business submits the annual verification statement by the anniversary date, but either the verification statement or the information received by the Division indicates that the business is no longer eligible for certification as a minority or women-owned business, the Division shall revoke the certification pursuant to this chapter and following revocation, the business shall be removed from the SAVI. The business may appeal this revocation pursuant to the procedures set forth at N.J.A.C. 17:46-1.8.

Please pay particular attention to the supporting documents required. For each question on the application, a response must be indicated, even if it is "Not Applicable." Incomplete applications will be returned. Any applicant, who knowingly supplies false information shall, upon conviction, be guilty of a crime of the fourth degree.

For Free counseling, please contact either the Small Business Development Center (973) 353-5960 prior to submitting your application.

The State currently works with other certifying groups to accept alternative certifications. If you have a currently valid certificate with a statewide or national certifying group, in lieu of completing the MBE/WBE Application, you may submit a copy of their certification approval letter and/or certificate for consideration to satisfy requirements of certification under NJ Statue 12A:17:46. You must also submit a non-refundable check or money order in the amount of \$100.00. Please send your completed application to:

NJ Division of Revenue Business Support Services Bureau P.O. Box 455 Trenton, NJ 08646

If you require further information, please call (609) 292-2146.

¹ NJSAVI (New Jersey Selective Assistance Vendor Information) is a database designed to assist small, women, and/or minority businesses that wish to do business with the State of New Jersey and the private sector. The NJSAVI marketplace aids in matching buyers and vendors for private contracting opportunities.

State of New Jersey MBE/WBE Certification Application

Print or Type		For Agency Use Only
Firm Name		
		Approved DateInt
-		Disapproved DateInt
City	State Zip	
Provide full address of princip	pal place of business	AI Letter Sent DateInt
		Referring Agency:
County		MBE/WBENJDOTNJT
Phone		NY/NJ PA NJSBDC Other
Fax Number		Payment Included Yes No
		Paymont Data
		Payment Date
Contact Person (M/F)		Payment #
Social Security #		DO NOT WRITE IN THIS SPACE
ANSWER ALL	QUESTIONS OR APPLICATIONS OF A	ON WILL NOT BE PROCESSED wher
ANSWER ALL ne of Firm President/Chief Ex	ecutive Officer/Managing Member/Ow	vner
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ANSWER ALL e of Firm President/Chief Ex President and/or CEO Name & title of officer of th	ecutive Officer/Managing Member/Ow	owner Owner
ANSWER ALL ne of Firm President/Chief Expresident and/or CEO Name & title of officer of the Name: s firm is applying for certificate Minority Business Enterprise	Managing Member Managing Member e firm who can be contacted during the Title: ation as: (One or more designation may be se (MBE) Women Business E	Owner e application review process.
ANSWER ALL ne of Firm President/Chief Ex President and/or CEO Name & title of officer of th Name:	Managing Member Managing Member e firm who can be contacted during the Title: ation as: (One or more designation may be se (MBE)	Owner e application review process. e chosen.) Enterprise (WBE) ncession
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01 – African American 02 - Hispanic American 03 – Asian American

04 – Native American

05 - Non-Minority Female

06 - Other

Current year	Last y	rear	Previous year	-
year		year	year	
Construction-related industry <u>5-digit codes</u> (12 codes ma		<u>t codes</u> (www.state.nj.us/nj	ibusiness/small/res/dbwnj/construction of	eraft.shtml)
			lity/Services codes and enter the 5-digit	
accurately describe the goods	and services your firm	n provides. (<u>www.state.nj.</u>	us/treasury/purchase/commcode.htm)	(12 codes maximum)
Annual Verification rall applicants must complete the Initial application: Provide all required inform	he annual verification	form. Be advised that you v	will be asked for supporting document(s)	
a. Briefly describe the	firm's major field(s	s) of operation;		
b. Articles of incorpor agreements;	ation, corporation b	y-laws, certificate of form	nation, partnership agreements, and	joint venture
c. Names and addresse	es of members and o	officers of the business an	d board of directors in the case of co	rporations;
d. Names and addresse	es of capital investor	rs and the amount of capi	tal contributed. Gifted ownership sh	nall not be considered
E' C. 1 . 1 . 1 .	. 4 . 4	e past three consecutive	waara.	
e. Firm federal and sta	ite tax returns for th	e past tillee collsecutive	years,	
e. Firm federal and staf. Most current incon		•	years,	

- education, training and employment dates;
- i. Proof of ethnicity and gender (i.e. Photo ID, driver's license, birth certificate, passport and/or green card);

Number of shares of all classes of stock issued, and stock outstanding in the case of a corporation;

- j. The affiliation of the firm or any of its owners, officers or directors with any other business entity;
- k. A complete list of major and real personal property holding of the firm;
- 1. A complete listing and copy of signature cards for all banking institutions with which the firm is affiliated;
- m. A complete listing of previously attained certifications and a listing of all legal entities which denied certifications;
- n. Copies of office lease/rental agreements or deeds, and mortgages when home based.

An Applicant who fails to comply with specifically requested additional information or documentation shall be considered in non-compliance and shall be subject to rejection

PLEASE READ THIS ENTIRE PAGE CAREFULLY!!

Only the signature of the owner or president of a corporation is acceptable. (For a partnership, only a general Partner may sign, the signature of a Limited Partner is not acceptable. For an LLC, the managing member must sign.)

VERIFICATION MUST BE SIGNED, DATED AND NOTARIZED

TATE OF) SS		
OUNTY OF)		
A)	being duly sworn, st	ates that I am the	owner
Name of President or Owner (Or a partner/member in) of the enterpresentations made in knowledge.	orise making the foregoing App	lication and that t	
B)	being duly sworn, state	hat I am the	
Title		me of Business	
the statements and representations management Application is fully authorized by the	* *	•	
Signature of President, Owner or Managing Partn	er	Printed 1	Name
Title	DatePhone		
Notary Seal	Sworn to before me thi	sthe day of	20
			Notary Public
Person assisting in completing the application	on:		
NOTE: Ap	Name Oplicant must also sign Fraud		bhone Number
Traud Statement: I attest that the information formation is accurate to the best of my knowled penalties outlined in N.J.S.A. 12A:17:46 and ivil or criminal penalties.	edge. I understand that any inform	nation willfully fals: rsey's fraud statutes	ified or omitted may resu
		·	Signature of Applica
Mail completed form to	NI Division of Days		signmare of Appuea
Mail completed form to:	NJ Division of Revenue Business Support Services Bureau P.O. Box 455		

Trenton, NJ 08646

CONSTRUCTION CRAFT CODING LIST

(Construction Vendors Only)

				_	
C00010	Acoustical	C00430	Elevators	C00800	Landscape Construction
	Air Balancing & Testing of	000440		000040	Large Diameter Cylinder Piles
C00020	Environmental Systems	C00440	Energy Management Systems	C00810	(3 or more feet in diameter)
C00030	Asbestos Removal-Mechanical	C00450	Engineering Erection of Bridge Railing, Bridge	C00820	Latex Modified Overlay
C00040	Asbestos removal-Treatment	C00460	Repair and deck	C00830	Layout
C00040	Aspestos removai-freatment	C00400	Erection Iron & Steel, and/or pre-	C00030	Layout
			stressed Concrete and/or		
			Protective Coating of Structural		Maintenance and Protection of
C00050	Asphalt Mining	C00470	Steel	C00840	Traffic
	<u> </u>				Manufacture Railroad
C00060	Athletic Fields, Tracks, Courts	C00480	Erection of Bridge Railing	C00850	Equipment
			Erection Ornamental and Light		
C00070	Bituminous Material Application	C00481	Structural Metal	C00860	Material Supplier
C00080	Blasting	C00490	Erect Permanent Forms	C00870	Mechanical Construction
			Erection of Steel Plate Pipe and		
			Drainage Structures, Metal Bin		
C00090	Boilers (New/Repair)	C00500	Type Retaining Walls Excavation Exterminating	C00871	Millwork
C00030	Boring	C00500	Excavation	C00871	Millwrighting
C00100	Brick and Block	C00510	Exterminating	C00890	Miscellaneous Structures
300110	Bridge Work -viaducts, reframing	300311	Externimating	000000	Motor Vehicle Escorts (Traffic
C00120	walls, end foundations	C00520	Fare Boxes	C00900	Directors)
C00130	Bridge repairs	C00530	Fencing	C00910	Mowing
C00140	Bulkheads & Docks	C00540	Fire Alarms - Signal Systems	C00920	Natural Stone masonry
C00150	Bus Shelter	C00550	Flooring - Tile	C00930	Noise barriers
C00160	Bus Supplier	C00560	Food Service Equipment	C00940	Oil and Gas Burners
C00170	Caissons	C00570	Form Handling or Stripping	C00950	Packing Materials
C00180	Carpentry - General	C00580	Foundation	C00960	Painting
					Painting - Bridges, Tranks,
C00190	Carpeting	C00581	Foundation Excavation	C00970	Steel & Elevated Structures
C00200	Cathodic Protection	C00590	General Construction	C00980	Painting - General
C00210	Caulking & Water Proofing	C00600	Alterations and Additions	C00990	Painting - Historical Sites
C00220	Chemical Vegetation Control	C00610	Building	C01000	Parking Control Systems
C00230	Clearing	C00620	Rest Area and Service Buildings	C01010	Partitions - ceilings
C00240	Communication Systems	C00630	Road and Heavy Highway	C01020	Pavement Marking Long Life
C00249	Concrete Foundation, Footings- Masonry Work	C00640	Grading	C01030	Paving Bituminous
C00249	Masoniy Work	C00040	Grading and Drainage, Drainage	C01030	Faving Bituininous
C00250	Concrete Median Barrier Curb	C00641	Structures	C01040	Paving Concrete
000200	Control to Median Barrier Carb	000041	Grading and Paving, Draining	001040	r aving concrete
C00260	Concrete Pavement	C00650	Structures and all types of Paving	C01050	Permanent Signs
C00261	Concrete - Pre-cast	C00651	Grouting	C01060	Photography
					Piezometer Pressure
C00270	Concrete Repair	C00652	Guide Rail	C01070	Measurement
	Concrete Sawing, Sealing, and				
C00280	Curing	C00653	Gunite	C01080	Pile Driving
C00290	Control Systems	C00660	Hauling	C01090	Pipeline Construction
C00300	Core Drilling	C00670	Highway and Sign Lighting	C01100	Planting
000040	Curbs, Sidewalks and Miscellaneous	000000	Historical Danaus Com-	004440	Diversión
C00310	Concrete Work	C00680	Historical Renovations	C01110	Plumbing Phoumatic Morter or Granita
C00320 C00330	Decorations - Interior Demolition	C00690 C00700	HVAC Impact Attenuators Installation	C01120 C01130	Prefab Buildings
C00330	Dellinini	C00700	impact Attenuators installation	CULISU	Prefab Buildings Prefab Music - Sound - Clean
C00340	Dewatering	C00710	Incinerators	C01140	Rooms
C00340	Diving	C00710	Iron and Steel Fabrications	C01150	Printed Supplies
30000		300.E0	Installation of Electronic	C01160	Prison Equipment Systems
C00360	Doors and Hardware	C00730	Communication System		
C00370	Drainage	C00740	Insulation	C01170	Protective Slope Coating
C00380	Drainage and Structures & Chamber	C00750	Insulation - Mechanical	C01180	Pumping Stations
C00390	Dredging	C00751	Irrigation	C01190	Radon Testing
C00400	Dry Wall	C00760	Janitorial Services	C01200	Railroad Electrification
				C01210	Railroad Electrification
			Letter and Burnlanden	1	O
C00401	Dust Collectors	C00770	Jetty and Breakwater		Supplier
C00401 C00410 C00420	Dust Collectors Earthwork	C00770 C00780 C00790	Lab Furniture - Equipment	C01220 C01230	Railroad Signal Work Railroad Track Work

CONSTRUCTION CRAFT CODING LIST (Construction Vendors Only) C01231 Rail Crane C01680 Supplier - Fastener, Nuts, Bolts, C02090 **Electrical Engineering** Screws C01240 Rapid Transit C01690 Supplier of Gas, Fuel, Oils, C02100 **Energy Management Control** Grease, Lubricants System C01250 C01700 C02110 Refrigeration Supplier - Lumber **Environment Engineering** Estimating - Cost Analysis C01260 **Reinforcing Steel** C01710 Supplier - Paint C02120 C01270 Reloctable Building C01720 Supplier - Plumbing C02130 Exhibit - Interpretative Design C01280 Removal of Petroleum Products, C01721 Supplier - Signs C02140 Fire detection System **Debris and Hazardous Material** C01290 Retrofit of Autobus Air Conditioning C01730 **Supplier - Transducers** C02150 Fire protection Engineering C01300 Retrofit of Autobus Fuel Injectors C01740 **Supply Fill Material Pit** C02160 **Fire Protection System** C01310 Rigging C01750 **Swimming Pools** C02170 **Food Services Rock Drilling** C01760 Telecommunications C02180 Geology C01320 **HVAC** Engineering C01330 Roofing - Built Up C01770 **Test Borings** C02190 Roofing - Membrane EPDM C01340 C01780 **Test Labs** C02200 **Historical Preservation** C01350 **Roofing - Membrane Modified** C01790 Testing, Curing and/or C02210 **Hydraulics - Pneumatics** Bitumen **Transporting Samples** C01360 Roofing - Membrane PVC - CPE -C01800 Top soiling and Seeding C02220 Hydrographic Surveying CSPE C01370 C01810 C02230 Roofing - Metal **Traffic Control Systems** Hydrology Interior Design - Space C01380 Roofing - Tile - Slate - Shingles C01820 **Traffic Directors** C02240 **Planning** C01390 Roofing - Urethane C01830 **Traffic Safety Device Supplier** C02250 Land Surveying C01400 Sandblasting C01840 **Traffic Signals** C02260 Landscape Design Sand Drain Sand Fill **Tree Trimming & Removal** C02270 C01410 C01841 Marine Engineering C01420 Sawing, Sealing & Curing C01850 **Trenching** C02280 **Material Testing** C01430 Seating - Auditorium Bleachers C01860 **Truck & Equipment Lessors** C02290 Mechanical Engineering -Elevators, Conveyors, etc. Security - Intrusion Alarms Planning C01440 C01870 Tunneling C02300 **Underground Utilities** C01450 Septic Systems C01880 C02310 **Plumbing Engineering** C01460 **Service Stations** C01890 **Underwater Construction,** C02320 **Roofing Consultant** Excavation, Inspection, Restoration of Piers, Salvage) C01470 Sewage Disposal C01900 **Wall Covering** C02330 **Roofing Inspection** C01480 Sewer Piping & Storm Drains C01910 **Waste Disposal** C02340 Sanitary Engineering C01490 C01920 Waste Removal, Toxic -Sewerage & Water Treatment C02350 Security Systems Hazardous Waterproofing & Caulking Site Planning C01500 School Library Furniture C01930 C02360 C01510 Shear Connectors Studs C01940 Welding C02370 Soil Engineering C01520 Sheet metal (Mechanical) C01950 Well Drilling C02380 Structural Engineering C01530 Siding and Gutters C01960 Windows C02390 Testing & Balancing (HVAC) C01540 Signs and Sign Structures C01970 Window Treatment C02400 Traffic C01550 Site Work C02410 Transportation C01560 Soil and Rock Anchors PROFESSIONAL SERVICES C02420 Value Engineering C02430 Waste - Water Treatment C01570 Solar Installation Active C01980 Acoustics C01580 Solar Installation Passive C01990 **Aerial Surveying** Archaeology C01590 Special Motorized Vehicle Equipment C02000 C01600 Special Railroad Station Structures C02010 **Architecture** C01610 Sprinkler Systems C02020 **Asbestos Abatement** C01620 Steel Fabrication Structural Steel & C02030 **Civil Engineering** Ornamental iron C01630 C02040 **Claims Analysis** Storage Tanks C01640 Subsurface Investigation Boring C02050 Communications C01650 Supplier Asphalt C02060 **Construction Management** C01660 Supplier - Building Materials C02070 Supplier - Electrical C01670 C02080 **Design - Construction Monitoring**



JON S. CORZINE Governor

Department of the Treasury
Division of Minority and Woman Business Development
33 West State Street, 1st Floor
P.O. Box 026
Trenton, New Jersey 08625-0026
Phone: 609-292-2146
Fax: 609-292-8764

.DAVID ROUSSEAU State Treasurer

Frequently Asked Questions on the New Jersey Small Business Registration & Minority and Women Certification Programs

1. Where can I send my small business registration or minority/women certification application payment?

- a. Make your check payable to Division of Revenue and send it to the NJ Division of Revenue, Business Support Services Bureau, P.O. Box 455 Trenton, NJ 08646
- 2. How long does it take before I get a decision on my application?
 - a. You will receive a response generally after 8-10 weeks of your check being cashed.
- 3. What happens when my application is approved?
 - a. You will receive a letter and a certificate in the mail.
- 4. What happens if my application is incomplete?
 - a. We will send you a request for additional information. You will be asked to respond within 30 days to prevent an automatic denial of your application.
- 5. What happens if my application is denied?
 - a. You will receive a letter explaining why your application was denied. The letter will also explain that we need to receive a letter from you on your company letterhead within 10 days if you wish to appeal the denial.
- 6. Where can I get help in filling out my application?
 - a. For one-on-one assistance, visit your local Small Business Development Center. For a complete listing, go to http://www.njsbdc.com/contact/
- 7. Is a business registration with the State of New Jersey, Division of Revenue the same as a small business registration with your Division?
 - a. No, these are two different types of registrations. A business registration with the Division of Revenue will ensure that the business is registered for applicable taxes and related liabilities. Businesses must submit to the Division of Revenue the Business Registration Form (NJ-REG) and if applicable, the Public Records Filing for New Business Entity form. After registering, businesses will receive the forms, returns, instructions and other information required for ongoing compliance with New Jersey State taxes.

For more information on the Business Registration Form, please see http://www.state.nj.us/treasury/revenue/busregcert.htm

8. What is a Small Business Enterprise (SBE) registration?

a. The SBE registration is **required** to compete for contracts under the Small Business Set Aside Act. The small business set-aside program was established with the goal of awarding 25 percent of state contracts and purchase order dollars to small businesses. Go to http://www.nj.gov/njbusiness/contracting/ for a listing of the standards for eligibility and to obtain an application form.

9. How long will my SBE registration or M/WBE certification last?

a. The costs for both the SBE registration and M/WBE certification is \$100 for three years. Please note that you will have to send in annual verification forms or your registration or certification will be revoked.

10. Can I compete for a Small Business Set Aside contract if I get my SBE registration after the bid opening date?

a. You must have a current SBE registration before the bid opening date to be eligible to compete.

11. My company was considered ineligible to be a SBE under the old rules and regulations. Do the new rules and regulations take into consideration new industries or sizes?

a. The new rules and regulations have changed the size of the categories so that the size of your industry is taken into account. As a result, your company may now qualify as a SBE and be eligible to participate in the Small Business Set Aside Program

12. What if I have a bid due before the 8-10 week response time?

a. You must submit via fax (609-292-8764) a request for expedite of your application and accompany this request with a copy of the bid page that contains the bid due date. Please note that the application must be properly completed and all required support documentation must be received by our office before we are able to begin processing your request.

In cases where a prime is requiring a sub-contractor to obtain a registration or certification, you must submit a notarized letter from the prime, on their letterhead, stating that there is a commitment to award the sub-contract if in fact registration/certification is provided. A copy of the bid page that contains the bid due date must be attached to the letter from the prime.

Where/When feasible we will issue an expedite certificate five days before the bid due date.

NOTE: Submitting an application does not mean that you will automatically receive an approval. You need to submit your application and the front page of the bid for review to the Division at least 48 hours before the bid opening date. If you submit your application with less than 48 hours before the bid opening, there may not be enough time to process your application or answer any questions.

13. What is a Minority and/or Women Owned Business Enterprise (MWBE) certification?

a. MWBE's are encouraged to become certified with the State so that we can accurately and timely assess the share of procurement activity recorded by this group compared to the share of business registered by non MWBE vendors. In addition, private firms and municipal (not State) governments may require proof of an MWBE certificate.

Go to http://www.nj.gov/njbusiness/contracting/ for a listing of the standards for eligibility and to obtain an application form.

The MWBE certification cost is \$100 for three years. Please note that you will have to send in annual verification forms or your certification will be revoked.

14. My MWBE certification is going to expire. Do I have to recertify my business?

a. You no longer recertify your business under the new rules and regulations. You must submit a new application with the required information.

15. Can I use my MWBE to compete for bids under the Small Business Set Aside law?

a. The New Jersey contracting process is race and gender free so you don't need a MWBE designation. You need a SBE designation to compete under the Set Aside Act (Refer to response to Question #8).

16. Where do I get information on new bids from State agencies, universities and authorities?

a. The Division offers a centralized database that posts all statewide bids which you can access anytime by keyword, agency or commodity code. Go to http://www.nj.gov/njbusiness/contracting. Refer to the right hand side banner Online Services, click on Statewide Bid Opportunities.

For guidance on how to navigate and maximize the potential of the State's procurement process, small businesses are encouraged to contact the New Jersey Office of Supplier Diversity at 609-984-1036 or njosd@treas.state.nj.us.

17. Can I get automatic notification of new bid opportunities?

a. You can sign up to get customized email notifications for opportunities within the goods, service, or construction field you identify as being of interest. The identification of goods, services or construction field will be based on the National Institute of Governmental Purchasing, Inc, NIGP code, which you must provide. Go to http://www.nj.gov/njbusiness/contracting/bid/learn_about.shtml

For those of you that are familiar with the North American Industry Classification System (NAICS) and need to find an equivalent NIGP code you can do so by searching for similar wording or description. The State Department of the Treasury has an NIGP lookup tool that be can be accessed by going to:

http://www.state.nj.us/treasury/purchase/commcode.htm

18. Where can I find the commodity and construction codes?

a. Commodity and construction codes consist of expense account codes that provide information and/or descriptions of the nature of the purchases (goods, services, construction field) made by state agencies. Constitutes a system of identifying a commodity by an assigned number. Go to:

http://www.state.nj.us/treasury/purchase/commcode.htm

19. What happens if I lose my registration/certificate?

a. You may ask for a replacement by sending your request to us on your company letterhead. You may mail your request to us or fax (609-292-8764) it to us.

20. Do you give out grants?

a. While the Division does not give grants, you may explore other funding sources by calling the Economic Development Authority Customer Care line at 609-777-4898 or your local Small Business Development Center http://www.njsbdc.com/contact/.

May 18, 2009 Rev.